

Privacy Policy

Effective Date: April 28, 2023

This Privacy Policy (“Policy”) explains how Deerfield Management Company, L.P., a Delaware series limited partnership (Series C) (“we”, “our”, “us” or “Deerfield”) collects, uses, maintains, and shares your information in connection with your relationship with us. This Policy applies to visitors to our website located at www.deerfield.com (the “Site”), including individuals who sign up to attend our events through the Site or any other site or application (“Site Visitors”); survey participants (“Survey Participants”) and consultants (“Consultants”) we engage to support the investment management services we provide (referred to as our “Services”) and our other activities; and any other individuals with whom we interact for the purposes of providing our Services. This Policy also applies to information collected by Deerfield about applicants in connection with opportunities for employment at Deerfield, its affiliates, or its portfolio companies (“Applicants”). Each Site Visitor, Survey Participant, Consultant, and Applicant may be referred to herein as “you.”

Please note that Applicants and Consultants who are engaged directly with a Deerfield portfolio company are covered by such company’s own privacy notice. Applicants and Consultants that have questions about how such companies may collect or use your Personal Information should contact such companies directly. If you are an investor or otherwise affiliated with us, please refer to the introductory information provided to you by us or contact us as outlined below for additional assistance.

You are agreeing to the terms of this Privacy Policy by using or otherwise accessing the Site; agreeing to participate in one of our surveys or to work with us as a consultant; applying for employment with or through us; or by otherwise interacting with our Services. This Policy constitutes a legal agreement between you and Deerfield. If we make changes to this Policy, we will update the effective date listed at the top of this page. Any change will be effective immediately upon posting on the Site. You should review this Policy each time you access the Site or engage with us as a Consultant, Survey Participant, or Applicant to see the most recent version. YOUR CONTINUED USE OF THE SITE OR ENGAGEMENT WITH US AS A CONSULTANT, SURVEY PARTICIPANT, OR APPLICANT FOLLOWING THE POSTING OF ANY CHANGES TO THIS POLICY CONSTITUTES ACCEPTANCE OF SUCH CHANGES.

Please note that, depending on your relationship with us or our affiliated companies, additional or other Deerfield privacy policies may be relevant to you. You may contact us as outlined in the “Contact Us” section, below, if you require assistance or if you have any questions regarding our privacy practices.

Information We Collect

Depending on your relationship with us, we may collect the following categories of information about you:

Categories of Personal Information Collected	Specific Elements of Personal Information	Sources of Personal Information
Identifiers	<ul style="list-style-type: none"> - Your name (including any nickname or alias you have used) - Postal address - Internet Protocol address - E-mail address - Social Security number, or other similar identifiers 	Information available on the internet, including social media sites such as LinkedIn, information you provide to us in connection with your decision to participate in a survey or potential engagement as a consultant, or information you provide to us as an applicant for employment
	<ul style="list-style-type: none"> - Telephone number(s) - Bank account information 	Information you provide to us in connection with an engagement or potential engagement as a consultant
	<ul style="list-style-type: none"> - Work permit or visa information - Employment and education history - Referrals or references - Information included in your resume or cover letter, and other information you choose to provide 	Information you provide to us in connection with your application for employment
Data with Special Protections	<ul style="list-style-type: none"> - Gender - Marital Status - Veteran Status - Race/Ethnicity - Special needs or health conditions - Information related to accommodations you may request 	Information you provide to us in connection with your application for employment
Professional or Employment Information	<ul style="list-style-type: none"> - Salary preferences - Willingness to relocate - Interview details - Outcome of recruiting/application exercises you complete 	Information you provide to us in connection with your application for employment
Education Information	<ul style="list-style-type: none"> - Information about your educational background 	Information you provide to us in connection with your application for employment
Internet or Other Similar Network Activity	<ul style="list-style-type: none"> - Domain server - Internet Protocol address - Type of computer or mobile device - Web browser - Statistical information regarding the pages that you visit - Items viewed on the Site - Content of online request forms you submit to us (which may include your name, address, telephone number, and email address) 	Your interactions with our Site

How We Use Your Information

Deerfield may use the personal information we collect about you for the following purposes:

- To better understand general usage of the Site and to improve the Site and/or our Services;
- To respond to your requests, such as to send you publications or other information;
- For internal business purposes, such as auditing, data analytics, and research to create, develop, operate, deliver, and improve our Site, our Services, and our communications;
- To prevent, detect, and investigate security incidents that compromise the availability, authenticity, integrity, or confidentiality of personal information, where reasonably necessary and proportionate;
- To provide you with information and materials regarding activities, programming, properties, and other aspects of Deerfield and our affiliates, including via publications, newsletters, and emails for informational purposes;
- To defend our interest in actual or threatened legal proceedings or with respect to compliance inquiries or investigations; or
- To fulfill our legal obligations, such as to uphold our responsibilities to Survey Participants and Consultants under applicable agreements.
- For Applicants, we may use information provided to consider you for employment at Deerfield or our affiliates or, where applicable, with our portfolio companies, and to maintain and improve our recruiting processes. This may include, for example:
 - Receiving, reviewing, and replying to your application;
 - Verifying the information you or others have provided and checking your references;
 - Assessing your suitability for the opportunity you have applied for;
 - Communicating with you about the interview and recruiting process;
 - Preparing an offer letter, if your application is successful;
 - Suggesting potentially suitable opportunities for you at Deerfield, our affiliates, or at our portfolio companies in addition to the company or position you may have applied for or expressed interest in;
 - Managing and improving our recruiting processes;
 - Analyzing recruiting metrics; and
 - Creating and submitting reports as required by applicable law.

How We Share Your Information

Deerfield may share your personal information with certain categories of third parties in a limited number of circumstances, including:

- To third parties that provide services to Deerfield, such as to enhance the Site and the Services Deerfield provides, and to assist us in upholding our responsibilities under our agreement(s) with you;
- To respond to a subpoena or court order, judicial process or regulatory inquiry;
- To protect or defend against fraud, lawsuits, claims or other liabilities;
- To protect the security of our systems, or to protect our rights or property;

- In connection with a proposed or actual sale, merger, or transfer of all or a portion of Deerfield’s business;
- To otherwise assist Deerfield in offering Services; and
- For the distribution of publications, newsletters, or other information that Deerfield believes may be useful to you.
- For Applicants, we may additionally share your Identifiers, Professional or Employment Information, and Education Information with our portfolio companies where we act on such companies’ behalf for recruiting or employment onboarding purposes. We may also share this Personal Information with our third-party service providers that provide services such as recruiting assistance, background checks, processing, and similar services.
- For Consultants, we may also share your Identifiers, Professional or Employment Information, and Education Information in a limited number of additional circumstances, such as disclosure to third parties with which Deerfield works to enhance its internal databases that it uses in connection with its Services.

California Residents

Based upon where you reside, certain choices and rights with regards to your personal information may be available to you. Please see below for information on how to contact us to exercise these rights.

Information We Collect and How We Share It

The information that we have collected about California residents, and the sources from which we have collected it, are described above in “Information We Collect.” Our business or commercial purpose for collecting personal information is described above in “How We Use Your Information.”

Deerfield has collected and disclosed the following categories of personal information about consumers for a business purpose in the preceding 12 months:

- Identifiers such as a real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, social security number, or other similar identifier.
- Characteristics of protected classifications under California or federal law. In some cases, we may collect some limited sensitive personal information about you, such as by asking event attendees to voluntarily provide information as to whether they require any special assistance or accessibility features. This information is only used for the limited purposes for which it is collected.
- Sensitive personal information. In some cases, we may collect some limited sensitive personal information about you, such as by asking event attendees to voluntarily provide information about any dietary restrictions that they may have, or in connection with Applicants and Consultants. This information is only used for the limited purposes for which it is collected or as otherwise provided in this Policy.
- Commercial information, including records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.

- Internet or other electronic network activity information, including information regarding a consumer's interaction with our Site, such as IP address, browser type and version, and pages visited on our Site.
- Audio, electronic, visual data, such as photographs and audio/visual recordings during events we co-sponsor. We may also collect audio recordings from internal Deerfield activities, subject to additional notices that we provide in advance of those collection activities.
- Professional or employment-related information. We generally do not collect professional or employment-related information, except in some limited circumstances, such as with respect to our employees and staff, or the employees and staff of our affiliates.
- Education information, such as graduating institution, and additional educational criteria necessary to select candidates for employment.
- Inferences drawn from any of the information identified above to create a profile about a consumer reflecting the consumer's preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.

Your Rights as a California Resident

Residents of the State of California are provided with specific rights regarding the collection and storage of their personal information.

Right to Know and Access. Residents of the State of California have the right to request that we provide to you the following information about our collection and use of your personal information over the past 12 months. Once your verifiable consumer request has been confirmed, we will disclose to you:

- The categories of personal information we have collected about you.
- The categories of sources for the personal information we have collected about you (e.g., use of cookies, third parties, etc.).
- Our business or commercial purpose for collecting that personal information.
- The categories of third parties with which we share or have shared that personal information.
- The specific pieces of personal information we have collected about you (also called a data portability request).
- If we have not disclosed your personal information for a business purpose, we will disclose this fact. If we have disclosed your personal information for a business purpose, including direct marketing, we will provide you with a list of disclosures for a business purpose, identifying the personal information categories that each category of recipient obtained.

Under the CCPA, you have the right to know whether your personal information is being sold. Deerfield does not sell your personal information.

Right to Deletion. Residents of the State of California have the right to request that we delete any of the personal information collected from you and retained, subject to certain exceptions. Once your verifiable consumer request is confirmed, we will delete and direct our service providers to delete your personal information from our records. Your request to delete the personal information

collected may be denied if it is necessary for us to retain your information under one or more of the exceptions listed in the CCPA. If you decide to use or access our Services again after exercising your right to deletion, Deerfield may consider this a new account, and may collect personal information associated with that account in accordance with this Privacy Policy.

Right to Limit Use of Sensitive Personal Information. Residents of the State of California may have the right to limit the use of certain types of sensitive personal information that Deerfield collects about you, such as your social security number, only to those uses that are necessary for us to perform our Services, and other services as permitted by law.

Right to Opt-Out of the Sale or Sharing of Personal Information. Residents of the State of California have the right to opt-out of the sale of their personal information by contacting us using the information in the “Contact Us” section below.

Where we are sharing your personal information with third parties for the purposes of cross-context behavioral advertising or profiling, you may opt-out of such sharing at any time by contacting us using the information in the “Contact Us” section below.

Right to Correction. Residents of the State of California have the right to request the correction of inaccurate personal information that we may have on file about you. We will take into account the nature of the personal information and the purpose for which we are processing such personal information. We will use commercially reasonable efforts to correct such inaccurate personal information about you.

Right to Obtain Additional Details. Residents of the State of California may have the right to request disclosures regarding our information practices. Please note, however, that this information is generally available in this Privacy Policy.

“Shine the Light” and “Eraser” Laws. Residents of the State of California are additionally entitled to request a notice that identifies the categories of personal customer information that we share with our affiliates and/or third parties for marketing purposes and the contact information for those entities. If you are a resident of the State of California and would like this information, please submit a written request to us using the contact information below in the “Contact Us” section below.

Verification Process

We are required by law to take reasonable steps to verify your identity prior to responding to your request to exercise the above rights. Please note that your exercise of these rights is subject to certain exemptions to safeguard the public interest (e.g., the prevention or detection of crime) and our interests (e.g., the maintenance of legal privilege).

We may verify your request by asking for information sufficient to confirm your identity, such as your name, email address, and information about your interactions with us. Requests to exercise these rights may be granted in whole, in part, or not at all, depending on the scope and nature of the request and as permitted by applicable law. Where required by applicable law, we will notify you if we reject your request, and notify you of the reasons we are unable to honor your request.

Use of an Authorized Agent

You may have the right to use an authorized agent on your behalf to exercise one or more of the privacy rights discussed above in this Section.

If you are an authorized agent acting on behalf of an individual to exercise a privacy right discussed above, you must be able to demonstrate that you have the requisite authorization to act on behalf of the individual. You can make a request on the individual's behalf by contacting us as set forth below in the "Contact Us" section with supporting verification information and proof of your own identity.

Exercising Your Rights

If you are a resident of the State of California and wish to exercise your rights, please contact us using the information as provided in the "Contact Us" section below.

Non-Discrimination. You will not receive any discriminatory treatment by us for exercising your privacy rights.

Online Tracking

Our Site does not currently recognize automated web browser tracking method signals, such as "do not track" instructions. You can change your privacy preferences regarding the use of cookies and other technologies through your browser, including blocking all cookies; however, blocking all cookies may affect your use of the Site and other websites and services online, and may prevent you from using all the features and elements of the Site and other websites and services.

Links to Other Websites and Services

Our Services may contain links to, or advertisements concerning, other websites. Other websites may also reference, advertise, or link to Deerfield. Deerfield is not responsible for the privacy practices or the content of such other websites. You should check the applicable privacy policy of any website you access through the Services.

Data Security Measures

Deerfield takes the security of personal information provided to us very seriously, and we have implemented commercially reasonable physical, electronic, and procedural safeguards to try to ensure the protection of your personal information. We only keep your personal information for as long as required to provide you with the services you request, for the purposes outlined in this Policy, and for any legal purpose for which we are obligated to keep the information unless a longer retention period is mandated by our customary record retention policies.

We endeavor to restrict access to your personal information (if any) to those employees and representatives who need to know that information to provide Services or for the purposes outlined

in this Policy, and when we no longer need your personal information, we will delete and/or destroy the information as set forth above.

Data Retention

We will only retain your personal information for as long as is necessary to fulfill the purposes for which it was collected or as otherwise permitted by law, including for the purposes of satisfying any legal, regulatory, accounting, or reporting requirements, including pursuant our customary record retention policies.

Cross-Border Transfers

Deerfield is based in and operates in the United States and complies with applicable data protection laws in the United States. If you are located outside of the United States, your personal information may be transferred across nation borders and may be accessed by Deerfield vendors and suppliers from countries with varying degrees of protection for your personal information. By agreeing to this Policy, you expressly consent to the processing of your data in any jurisdiction, including, without limitation, the United States, in accordance with this Policy and applicable privacy and data protection laws and regulations.

Children's Data

Our Site and our Services, including our investment management services and other information we make available, are not directed towards children under the age of 16. Deerfield does not knowingly solicit, collect, use, or disclose personal information of any children under the age of 16. By submitting information to Deerfield (whether or not through the Site), you represent and warrant that you are not under the age of 16. If Deerfield learns that any personal information has been submitted by any child under the age of 16, then Deerfield will take the appropriate steps with respect to that information, which may include deletion.

Changes to this Policy

Deerfield reserves the right to change this Policy at any time and without notice. If we make changes to this Policy, we will update the effective date listed at the top of the policy. Any change will be immediately effective upon this date.

Contact Us

If you have any questions or comments about this Policy or if you would like to exercise any of your rights as outlined here, please contact us at 888-532-7488 and ask to speak with a member of our Privacy Team, or send an email to privacy@deerfield.com. Before helping you with your inquiry, we may ask to verify your identity in accordance with applicable law. Residents of the State of California may submit an online request for an accounting of the personal information we maintain about them by completing this [online request form](#).

You may also write to us at:

Attn: Privacy Team
Deerfield Management Company
345 Park Avenue South, 12th Floor
New York, New York 10010